

	2009	2010	2011	Comments
<p>(a) PPA Zone Status (Red/Yellow/Green)</p> <p>Indicate the actual zone status determined by your actuary for the PPA certification for the 2010 and 2011 plan years. If your plan elected to apply the provisions of the Pension Relief Act, your response to this question should be your zone status <i>after</i> application of the relief provisions.</p>				
<p>(b) Projected Year of Funding Standard Account Deficiency</p> <p>If your plan was projected to have a funding standard account deficiency based on either the 2010 or 2011 actuarial valuation, indicate the projected year of deficiency. This information may be found in your plan's PPA zone certification for 2010 and 2011, in the actuarial reports, or by contacting your actuary.</p>				
<p>(c) Projected Year of Insolvency</p> <p>Similar to the previous item, if your plan was projected to be insolvent based on either the 2010 or 2011 actuarial valuation, indicate the projected year of insolvency.</p>				
<p>(d) Actuarial Liability for PPA Certification (in \$ millions)</p> <p>Enter (in \$ millions) the amount of your plan's actuarial liability for PPA certification purposes for the 2010 and 2011 plan years. This information may be obtained from the actuarial reports, from your actuary, or from the PPA zone certifications.</p>				
<p>(e) PPA Funded Percentage</p> <p>Enter your plan's funded percentage for the 2010 and 2011 plan years, as calculated for purposes of the PPA zone certifications. This information may be obtained from the actuarial reports, from your actuary, or from the PPA zone certifications.</p>				

If the information we are requesting is not available for years we have specified (for example, if the 2011 actuarial valuation is not yet complete), please provide information for the two most recent available plan years, and specify the years in the comments.

	2009	2010	2011	Comments
<p>(f) Market Value of Assets (in \$ millions)</p> <p>Enter your plan's market value of assets (in \$ millions) at the <i>beginning</i> of the 2010 and 2011 plan years. This information may be obtained from a final audit report, a draft audit report, an actuarial valuation report, or from your actuary.</p>				
<p>(g) Actuarial Value of Assets (in \$ millions)</p> <p>Enter your plan's actuarial value of assets (in \$ millions) at the <i>beginning</i> of the 2010 and 2011 plan years. This information may be obtained from the actuarial reports, from your actuary, or from the PPA zone certifications.</p>				
<p>(h) Pension Benefit Payments (in \$ millions)</p> <p>Enter the total benefit payments (in \$ millions) from the plan's audit for the 2009 and 2010 plan years. If final information is not available, please use the best available estimate.</p>				
<p>(i) Pension Contributions (in \$ millions)</p> <p>Enter the total contribution income (in \$ millions) from the plan's audit for the 2009 and 2010 plan years. If possible, please exclude withdrawal liability payments from this figure. If final information is not available, please use the best available estimate.</p>				
<p>(j) Total Hours of Contribution</p> <p>Enter the total number of hours (or applicable contribution base units) for which contributions were remitted during the 2009 and 2010 plan years. If this information is not readily available, it may be obtained from your plan's actuary.</p>				

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	2009	2010	2011	Comments
<p>(k) Average Pension Contribution Rate</p> <p>Enter the average rate in effect, or the rate expected to be in effect, for the 2010 and 2011 plan years. If this information is not readily available, it may be obtained from your plan's actuary. If your plan's contribution rate is not expressed as a dollar amount per hour, please indicate the contribution basis in the comments.</p>				
<p>(l) Actuarial Assumed Rate of Return on Investments</p> <p>Enter the assumed rate of return on investments used by your actuary for the 2009, 2010 and 2011 valuations. This information is available from your actuary, and may also be found in the actuarial reports.</p>				
<p>(m) Actual Rate of Return on Investments (Market Value)</p> <p>For the 2009 and 2010 plan years, enter the actual rate of return the plan earned on its investments on a market value basis. This information may be obtained from your investment consultant, actuary, or auditor. If final information is not available, please use the best available estimate.</p>				
<p>(n) Asset Allocation</p> <p>For each of the asset classes listed below, enter the percentage of assets allocated to that class at the beginning of the 2010 and 2011 plan years. The percentages must add up to 100%. This information should be available from your investment consultants, investment managers or auditors.</p>				
Equities				
Fixed Income				
Real Estate				
Cash or Cash Equivalents				
Private Equity				
Hedge Funds				
Other (Explain)				

If the information we are requesting is not available for years we have specified (for example, if the 2011 actuarial valuation is not yet complete), please provide information for the two most recent available plan years, and specify the years in the comments

	2009	2010	2011	Comments
<p>(o) Average Monthly Pension Benefit</p> <p>Enter the average monthly pension benefit amount for all pensions in pay status at the beginning of the 2010 and 2011 plan years. If possible, please exclude disability and survivor pensions from this figure.</p>				
<p>(p) Average Monthly Pension Benefit for New Awards</p> <p>Enter the average monthly pension benefit amount for all pensions awarded during the 2009 and 2010 plan years. If possible, please exclude disability and survivor pensions from these figures.</p>				
<p>(q) Steps Taken to Address Funding Challenges</p> <p>Enter check marks in the boxes to indicate which of the following steps, if any, were taken during the 2009, 2010, or 2011 plan years in response to the funding needs of your plan. Please use the comments section to elaborate on the steps that were taken.</p>				
Reduced Benefit Accrual Rate				
Increased Contribution Rate				
Reduced Ancillary Benefits Prospectively (Early Retirement, Disability, etc.)				
Reduced Accrued 'Adjustable Benefits' (Red Zone Plans Only)				
<p>(r) Election to Apply Funding Relief Provisions</p> <p>Please indicate if your plan elected to apply the provisions of the Pension Relief Act for either the 2009, 2010, or 2011 plan years.</p>				
30-Year Amortization Provisions				
10-Year Asset Smoothing Provisions				
130% Asset Smoothing Corridor Provisions				

If the information we are requesting is not available for years we have specified (for example, if the 2011 actuarial valuation is not yet complete), please provide information for the two most recent available plan years, and specify the years in the comments